

**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of Naoto MAEDA, et al.

Docket No: Q68512

Appln. No.: 10/075,395

Group Art Unit: 2157

Confirmation No.: 7192

Examiner: Barbara N. BURGESS

Filed: February 15, 2002

For: MOBILE AGENT TRANSFER SYSTEM, METHOD AND PROGRAM FOR  
COMMUNICATING DEVICES

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.97 and 1.98**

**MAIL STOP AMENDMENT**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

1. United States Patent Application Publication No. 5,913,027, published June 15, 1999.
2. European Patent Application Publication No. 0 928 089, published July 7, 1999.
3. Japanese Patent Application Publication No. 2000-276450, published June 10, 2000, with English Language Abstract.
4. International Patent Application Publication No. 00/65855, published November 2, 2000.
5. United States Patent Application Publication No. 5,950,010, published September 7, 1999.
6. United States Patent Application Publication No. 5,768,597, published June 16, 1998.
7. STRASSER M ET AL: "JAVA ALS SPRACHE FUER MOBILE AGENTEN JAVA AS A LANGUAGE FOR MOBILE AGENTS" IT + TI INFORMATIONSTECHNIK UND TECHNISCHE INFORMATIK, OLDENBOURG VERLAG. MUNCHEN, DE, vol. 43, no. 3, 2001, pages 151-158, XP001116324.

INFORMATION DISCLOSURE STATEMENT  
U.S. Appln. No.: 10/075,395

Attorney Docket: Q68512

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications.

The present Information Disclosure Statement is being filed after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution in the application (whichever is earlier), and therefore Applicant is filing concurrently herewith a Statement Under 37 C.F.R. § 1.97(e). No fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a corresponding European Search Report dated March 2, 2007, which cites such documents indicating the degree of relevance found by the foreign office.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

SUGHRUE MION, PLLC  
Telephone: (202) 293-7060  
Facsimile: (202) 293-7860

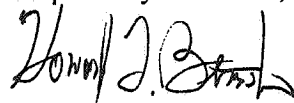
WASHINGTON OFFICE

**23373**

CUSTOMER NUMBER

Date: May 17, 2007

Respectfully submitted,



Howard L. Bernstein  
Registration No. 25,665

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**STATEMENT UNDER 37 C.F.R. § 1.97(e)**

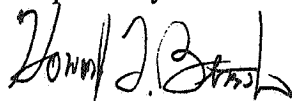
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed  
concurrently herewith was first cited in any communication from a foreign patent office in a  
counterpart foreign application not more than three months prior to the filing of said Information  
Disclosure Statement.

Respectfully submitted,



Howard L. Bernstein  
Registration No. 25,665

SUGHRUE MION, PLLC  
Telephone: (202) 293-7060  
Facsimile: (202) 293-7860

WASHINGTON OFFICE

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Substitute for Form 1449 A & B/PTO  <b><u>INFORMATION DISCLOSURE</u></b> <b><u>STATEMENT BY APPLICANT</u></b>  <i>(use as many sheets as necessary)</i>				<i>Complete if Known</i>	
				Application Number	10/075,395
				Confirmation Number	7192
				Filing Date	February 15, 2002
				First Named Inventor	Naoto MAEDA
				Art Unit	2157
				Examiner Name	Barbara N. BURGESS
Sheet	1	of	1	Attorney Docket Number	Q68512

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		US 5,913,027	A	06-15-1999	Matsuda et al
		US 5,950,010	A	09-07-1999	Hesse et al
		US 5,768,597	A	06-16-1998	Simm
		US			
		US			
		US			
		US			
		US			
		US			

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
		EP	0 928 089	A2	07-07-1999	MITSUBISHI DENKI KABUSHIKI KAISHA	
		JP	2000-276450	A	06-10-2000	TOSHIBA CORP	
		WO	00/65855	A1	11-02-2000	ROKE MANOR RESEARCH LIMITED	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>
		STRASSER M ET AL: "JAVA ALS SPRACHE FUER MOBILE AGENTEN JAVA AS A LANGUAGE FOR MOBILE AGENTS" IT +TI INFORMATIONSTECHNIK UND TECHNISCHE INFORMATIK, OLDENBOURG VERLAG. MUNCHEN, DE, vol. 43, no. 3, 2001, pages 151-158, XP001116324	

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to indicate here if English language Translation is attached.